

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shuji Jinno et al. Art Unit : 1626
Serial No. : 10/727,644 Examiner : Ebenezer Sackey
Filed : December 5, 2003
Title : TRICYCLIC FUSED HETEROCYCLE COMPOUNDS, PROCESS FOR
PREPARING THE SAME AND USE THEREOF

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4/6/06



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13709-002003	Application No. 10/727,644
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Shuji Jinno et al.	
		Filing Date December 5, 2003	Group Art Unit 1626
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,780,500	07/14/1998	Betschart et al.			04/18/1997
	AB	5,780,501	07/14/1998	Betschart et al.			07/08/1997
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	AK	HUP9600272 A	28 MAY 1997	HUNGARY			Abstract	
	AL	HUP219266 B	28 MAR 2001	HUNGARY			In English	
	AM							
	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	Hungarian Patent Office Novelty Search Report (Application No. P0201203), October 21, 2004, with English translation, 2 pages.
	AQ	
	AR	
	AS	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	